

Docket No.: REL-8402

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant : STUART HOROWITZ ET AL.

Filed : CONCURRENTLY HEREWITH

Title : METHOD FOR EFFICIENT INVESTMENT AND DISTRIBUTION  
OF ASSETS

INFORMATION DISCLOSURE STATEMENT

Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

Sir:

In accordance with 37 C.F.R. 1.98 copies of the following patents and/or publications are submitted herewith:

U.S. Patent No. 5,812,988 (Sandretto), dated September 22, 1998;

U.S. Patent Application Publication No. 2002/0174045 A1 (Arena et al.), dated November 21, 2002;

U.S. Patent Application Publication No. 2003/0120576 A1 (Duckworth), dated June 26, 2003;

U.S. Patent No. 6,154,732 (Tarbox), dated November 28, 2000;

U.S. Patent Application Publication No. 2002/0013754 A1 (Frank et al.), dated January 31, 2002;

U.S. Patent Application Publication No. 2003/0046212 A1 (Hunter et al.), dated March 6, 2003;

U.S. Patent Application Publication No. 2003/0023301 A1 (Cox et al.), dated January 30, 2003;

U.S. Patent No. 6,360,210 B1 (Wallman), dated March 19, 2002.

As per the Notice in 1273 OG 55 (August 5, 2003) no copies of any above-mentioned U.S. patents and U.S. patent application publications are submitted for any application filed after June 30, 2003.

Respectfully submitted,



For Applicants

Date: February 25, 2004


**RALPH E. LOCHER**  
REG. NO. 41,947

Lerner and Greenberg, P.A.  
Post Office Box 2480  
Hollywood, FL 33022-2480  
Tel: (954) 925-1100  
Fax: (954) 925-1101

/kf

<b>FORM PTO-1449 (SUBSTITUTE)</b>  U.S. DEPARTMENT OF COMMERCE PATENT AND TRADEMARK OFFICE  <b>INFORMATION DISCLOSURE          STATEMENT BY APPLICANT</b> (37 CFR 1.98(b))				Attorney Docket No.: REL-8402 Appl. No.: _____  Applicant: STUART HOROWITZ ET AL.  Filing Date: February 25, 2004 Group Art Unit: _____			
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EXAMINER INITIALS	A	PATENT NO.	DATE	PATENTEE	CLASS	SUB CLASS	FILING DATE
/K.R./	A	5,812,988	9/22/98	Sandretto			
	B	2002/0174045 A1	11/21/02	Arena et al.			
	C	2003/0120576 A1	6/26/03	Duckworth			
	D	6,154,732	11/28/00	Tarbox			
	E	2002/0013754 A1	1/31/02	Frank et al.			
	F	2003/0046212 A1	3/6/03	Hunter et al.			
	G	2003/0023301 A1	1/30/03	Cox et al.			
/K.H./	H	6,360,210 B1	3/19/02	Wallman			
	I						

FOREIGN PATENT DOCUMENT							
		DOCUMENT NO.	DATE	COUNTRY	CLASS	SUB CLASS	TRANSL. YES   NO
	J						
	K						
	L						
	M						
	N						

OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, etc.)	

<b>EXAMINER</b> /Kito Robinson/	<b>DATE CONSIDERED</b> 08/04/2008
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<b>EXAMINER:</b> Initial if citation considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.
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